

## NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS

Securities Valuation Office  
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New York, N.Y. 10004  
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### **RSAT Index List Application**

The undersigned hereby applies for inclusion on the National Association of Insurance Commissioners (NAIC) Approved RSAT Index List which must be used for Replication (Synthetic Asset) Transactions (RSATs) Using Indices, as described in Part Four, Section 2(i) of the *Purposes and Procedures Manual of the NAIC Securities Valuation Office*

**This form must be accompanied by the following for inclusion on the list:**

A listing of all Index components.

The ratings of all NRSRO-rated Index components from all NRSROs that have rated the component; and

The actual NAIC Designation for each Index component with an NAIC Designation or an equivalent NAIC Designation for each component rated by an NRSRO using the rating equivalents set forth in Part One, Section 7 (d) of the Manual (in the case of securities rated by more than one NRSRO whose ratings translate into more than one NAIC Designation, the NAIC Designation reported should equate to the lowest NRSRO rating).

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